Second: Darla Zarley

Action: Passed unanimously

J. Jose Ferran, R.Ph
K. Ian Knickerbocker, PT
L. Tiffany Buie, PT
(17-039-RPH-S)
(17-039-PT-A-S)
(17-039-PT-B-S)

January 2018 Brail Western

This matter was continued to a future Board meeting.

5. Requests for Renewal of Pharmacist License:

A. Gurpartap Basrai

Gurpartap Basrai appeared and was sworn by President Basch prior to answering questions or offering testimony.

Mr. Edwards stated that Mr. Basrai disclosed a pending case with the California Board of Pharmacy (California Board) on his Nevada Pharmacist License Renewal form. Mr. Edwards explained that Mr. Basrai is the owner of a pharmacy that is currently being investigated by the California Board for substantial losses of hydrocodone and alprazolam.

The Board expressed concern regarding the amount of hydrocodone and alprazolam missing from Mr. Basrai's pharmacy.

The Board offered Mr. Basrai the option of tabling his request until his pending case in California is resolved.

The Board tabled Mr. Basrai's request for renewal of his Nevada Pharmacist License at his request.

B. Lisa Chaplinsky

Ms. Chaplinski contacted Board Staff to withdraw her request to renew her pharmacist license.

C. Farbod Melamed

Darla Zarley disclosed that Mr. Melamed was a former student but stated that she could participate in this matter fairly and without bias.

Farbod Melamed appeared and was sworn by President Basch prior to answering questions or offering testimony.

Mr. Edwards explained that Mr. Melamed indicated that he had been the subject of a board citation or administrative action in California on his Nevada Pharmacist License Renewal form.



Renewal Application

Section 1:

Since your last renewal or recent licensure have you: (Please fill in completely)

Been diagnosed or treated for any mental illness, including alcohol or substance abuse, or Physical condition that would impair your ability to perform the essential functions of your license?

○ Yes

No

 Been charg 	ed, arrested or convicted of a felony or misdemeanor ill ally states

○ Yes ● No Upload Supporting Doc.

2. Been the subject of a board citation or an administrative action whether completed or pending in any state?

AC201500585100 case is pending for hearing

● Yes ○ No Upload Supporting Doc. (Includes/Uploads/)

3. Had your license subjected to any discipline for violation of pharmacy or drug laws in any state?

○ Yes • No	Upload Supporting Doc.		
If you marked VEC to prove	of the numbered questions (1.3) above include the following		
f you marked YES to any of the numbered questions (1-3) above, include the following nformation. If you are unsure of an answer please type unknown. Please be as complete as possible.			
Board Administrative Act State:	ion:		
California			
Date:			
05/27/2017			
Case#:			
AC201500585100			
Criminal Action: State:			
Date:			
Case#:			
County:			
Court:			
Section 2: 1. Are you the subject of a region of the subject of the sub	a court order for the support of a child?		
2. IF you marked YES to to to Yes No	he question above, are you in compliance with the court order?		

Section 3:
Continuing Education Certification:
Calculation=1.25 hours per month Date Range= 11/01/2015 – 10/31/2017
1. Pharmacists are exempt from completing CE for the first 2 years after graduation. Did you graduate within the last 2 years?
○ Yes No
2. If you answered No to CE question 1 above, have you completed all YOUR required number of Continuing Education hours, including 1 hour in an approved NV law program if living or practicing in Nevada? (If you are a pharmacist practicing out-of-state and are currently in compliance with that state's continuing education requirements please select Yes.)
● Yes ○ No
Section 4:
Though it is NOT required to have, SB21 required the Board to ask if you have a Nevada State Business license and if you do, please provide the number
NON-DISCIPLINARY STATE-MANDATED QUESTIONS:
1. Though it is NOT required to have, SB21 requires the Board to ask if you have a Nevada State Business license and if you do, please provide the
Leave blank if non-applicable.
2. Have you ever served in the military, either active, reserve or retired?
○ Yes No
State:

ates of Service:	
From Date	
To Date	
Section 5:	
nisrepresentation. I hereby tatements made are true a uidelines of the Centers for ransmission of infectious a understand that Nevada la	w to falsify this application and sanctions will be imposed for certify that I have read this application. I certify that all and correct. I attest to knowledge of and compliance with the or Disease Control and Prevention concerning the prevention of gents through safe and appropriate injection practices. I w requires a licensed pharmacist who, in their professional or
eccupational capacity, combused/neglected, to report ervices or to a local law er	es to know or has reasonable cause to believe, a child has been t the abuse/neglect to an agency which provides child welfare
bused/neglected, to repo	es to know or has reasonable cause to believe, a child has been t the abuse/neglect to an agency which provides child welfare
bused/neglected, to repore revices or to a local law er	es to know or has reasonable cause to believe, a child has been t the abuse/neglect to an agency which provides child welfare
bused/neglected, to repore revices or to a local law er	es to know or has reasonable cause to believe, a child has been t the abuse/neglect to an agency which provides child welfare forcement agency.

04/04/2019 License# 06874



Detailed Explanation of Incident in California, USA

To the Nevada Board of Pharmacy,

Please take this as my written explanation.

Incident:

Under the corporation, GSB Pharmaceuticals Enterprises purchased Alisal Pharmacy in Salinas, CA on or about September 16th, 2009 from Robert Souza. Robert Souza was the Owner/PIC of that Pharmacy for 8 Years. After I purchased the Pharmacy no changes were made to the staff and Robert Souza continued to be the Pharmacists In Charge/Manager of the Pharmacy.

On or around February 3rd, 2015 a staff Pharmacist realized that a bottle of Hydrocodone/Acetaminophen 10/325 was missing. At this time I was out of the country in India. The staff pharmacist notified Bob Souza and my General Manager Henry Lim. At the time he came in and performed the investigation and realized that someone had been stealing Hydrocodone/Acetaminophen 10/325. I was called when I was in India and I directed them to self report the incident to the California Board of Pharmacy and to fill out the necessary DEA/BOP paperwork. This was done right away. We reported a total loss of 68,239 Hydrocodone/Acetaminophen 10/325 between June 1, 2013 and February 3, 2015, and a loss of 913 Tablets of Alprazolam 2mg.

After this incident we changed our policies and procedures for maintaining and accounting of all controlled substances.

- 1. We changed the computer System (ComputerRx) to ensure that the inventory was all accounted for.
- 2. Only a licensed Pharmacist is to fill and dispense any Narcotics/Amphetamines and alprazolam.
- 3. Started doing perpetual inventory.
- 4. Quarterly Reconciliation of all controlled drugs.

On or about October 4th, 2017 the California Board Conducted an Inspection at Alisal Pharmacy. Upon this inspection the following causes of discipline was found:

First Cause For Discipline

Failure to Maintain Facilities to Ensure Proper maintenance and Security of Drugs. The inspector found that the safe that stored the Narcotics and Amphetamines was left unlocked and that a technician was able to open the safe with a combination.

Second Cause For Discipline

Failure to Preserve Records of Manufacture, Sale and/or Acquisition of Dangerous Drugs This refers back to the February 2015 incident listed above.

Third Cause For Discipline

Failure To Store Controlled Substances in a Securely Locked Cabinet
The inspector found that the safe that stored the Narcotics and Amphetamines was left
unlocked and that a technician was able to open the safe with a combination.

Fourth Cause For Discipline

Filling or Dispensing a Prescription Based on Incomplete Prescription Form During the inspection the inspector found that the Pharmacy had dispensed a prescription for Promethazine with Codeine. The inspector found the following issue with the prescription:

- a. A watermark printed on the backside of the prescription was missing
- b. An identifying number assigned to the approved security printer by the Department of Justice was missing
- c. A lot number printed on the form was missing
- d. Six Quantity check off boxes were missing
- e. The following statement was missing: "Prescription is void if the number of drugs prescribed is not noted"

The Pharmacist in charge at the time, Tung Nguyen, did not do his due diligence in checking to see if the prescription was real.

Fifth Cause For Discipline

Filling or Dispensing a Prescription Based on Incomplete Prescription Form This was a result of the dispensing of the above mentioned Promethazine with Codeine.

After this incident we updated our policies and procedures to ensure these issues won't happen again. The Pharmacist in Charge at the time (Tung Nguyen) enrolled and completed a DEA/California Board of Pharmacy Education class on dispensing controlled substances and inventory control. We also instituted strict guidelines for dispensing controlled drugs and specifically Promethazine with Codeine.

I am also in full compliance of the specified terms and conditions of probation set by the California BOP.

Gurpartap Basrai

04-05-2019 Date

1	XAVIER BECERRA Attorney General of California
2	DIANN SOKOLOFF
3	Supervising Deputy Attorney General NICHOLAS TSUKAMAKI
	Deputy Attorney General State Bar No. 253959
	1515 Clay Street, 20th Floor
5	P.O. Box 70550 Oakland, CA 94612-0550
5	Telephone: (510) 879-0982 Facsimile: (510) 622-2270
,	Attorneys for Complainant
3	BEFORE THE
	BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS
	STATE OF CALIFORNIA
)	
	In the Matter of the Accusation Against: Case No. 6286
2	GSB PHARMACEUTICAL
,	ENTERPRISES dba ALISAL LTC PHARMACY, GURPARTAP SINGH A C C U S A T I O N
	BASRAI, PRÉSIDENT AND SECRETARY 323 N. Sanborn Rd., Ste. A
	Salinas, CA 93905-2247
>	Original Permit No. PHY 50018
	GURPARTAP SINGH BASRAI 37323 Fremont Blvd.
7	Fremont, CA 94536
3	Pharmacist License No. RPH 31057
)	JANE CHIN EITOKU 13449 Paseo Terrano
	Salinas, CA 93908
)	Pharmacist License No. RPH 39910
l	Respondents.
)	
3	
1	Complainant alleges:
5	PARTIES
	Virginia Herold (Complainant) brings this Accusation solely in her official capacity
5	
7	as the Executive Officer of the Board of Pharmacy (Board), Department of Consumer Affairs.
3	111
	1
	(ALISAL LTC PHARMACY; GURPARTAP SINGH BASRAI; JANE CHIN EITOKU) ACCUSATIO

- 2. On or about September 16, 2009, the Board issued Original Permit Number PHY 50018 to GSB Pharmaceutical Enterprises dba Alisal LTC Pharmacy, Gurpartap Singh Basrai, President and Secretary (Respondent Pharmacy). The Original Permit was in full force and effect at all times relevant to the charges brought in this Accusation and will expire on September 1, 2018, unless renewed.
- 3. On or about August 1, 1977, the Board issued Pharmacist License Number RPH 31057 to Gurpartap Singh Basrai (Respondent Basrai). The Pharmacist License was in full force and effect at all times relevant to the charges brought in this Accusation and will expire on October 31, 2019, unless renewed.
- 4. On or about March 17, 1986, the Board issued Pharmacist License Number RPH 39910 to Jane Chin Eitoku (Respondent Eitoku). The Pharmacist License was in full force and effect at all times relevant to the charges brought in this Accusation and will expire on March 31, 2018, unless renewed.

JURISDICTION

- This Accusation is brought before the Board under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
- 6. Section 4011 of the Code provides that the Board shall administer and enforce both the Pharmacy Law (Bus. & Prof. Code, § 4000 et seq.) and the Uniform Controlled Substances Act (Health & Safety Code, § 11000 et seq.).
- 7. Section 4300, subdivision (a) of the Code provides that every license issued by the Board may be suspended or revoked.
- 8. Section 4300.1 of the Code provides that the expiration, cancellation, forfeiture, or suspension of a Board-issued license, the placement of a license on a retired status, or the voluntary surrender of a license by a licensee, shall not deprive the Board of jurisdiction to commence or proceed with any investigation of, or action or disciplinary proceeding against, the licensee or to render a decision suspending or revoking the license.

8

15

16

18

19

17

20 21

22 23

24

26

25 27 28

STATUTORY AND REGULATORY PROVISIONS

Section 4301 of the Code provides, in pertinent part:

"The board shall take action against any holder of a license who is guilty of unprofessional conduct or whose license has been issued by mistake. Unprofessional conduct shall include, but is not limited to, any of the following:

- "(j) The violation of any of the statutes of this state, of any other state, or of the United States regulating controlled substances and dangerous drugs.
- "(o) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of or conspiring to violate any provision or term of this chapter or of the applicable federal and state laws and regulations governing pharmacy, including regulations established by the board or by any other state or federal regulatory agency.

Section 4081 of the Code provides, in pertinent part:

- "(a) All records of manufacture and of sale, acquisition, receipt, shipment, or disposition of dangerous drugs or dangerous devices shall be at all times during business hours open to inspection by authorized officers of the law, and shall be preserved for at least three years from the date of making. A current inventory shall be kept by every manufacturer, wholesaler, thirdparty logistics provider, pharmacy, veterinary food-animal drug retailer, outsourcing facility, physician, dentist, podiatrist, veterinarian, laboratory, clinic, hospital, institution, or establishment holding a currently valid and unrevoked certificate, license, permit, registration, or exemption under Division 2 (commencing with Section 1200) of the Health and Safety Code or under Part 4 (commencing with Section 16000) of Division 9 of the Welfare and Institutions Code who maintains a stock of dangerous drugs or dangerous devices.
- "(b) The owner, officer, and partner of a pharmacy, wholesaler, third-party logistics provider, or veterinary food-animal drug retailer shall be jointly responsible, with the pharmacistin-charge, responsible manager, or designated representative-in-charge, for maintaining the

records and inventory described in this section."

- 11. Section 4113, subdivision (c) of the Code states: "The pharmacist-in-charge shall be responsible for a pharmacy's compliance with all state and federal laws and regulations pertaining to the practice of pharmacy."
 - 12. Section 4307 of the Code provides, in pertinent part:
- "(a) Any person who has been denied a license or whose license has been revoked or is under suspension, or who has failed to renew his or her license while it was under suspension, or who has been a manager, administrator, owner, member, officer, director, associate, partner, or any other person with management or control of any partnership, corporation, trust, firm, or association whose application for a license has been denied or revoked, is under suspension or has been placed on probation, and while acting as the manager, administrator, owner, member, officer, director, associate, partner, or any other person with management or control had knowledge of or knowingly participated in any conduct for which the license was denied, revoked, suspended, or placed on probation, shall be prohibited from serving as a manager, administrator, owner, member, officer, director, associate, partner, or in any other position with management or control of a licensee as follows:
- "(1) Where a probationary license is issued or where an existing license is placed on probation, this prohibition shall remain in effect for a period not to exceed five years.
- "(2) Where the license is denied or revoked, the prohibition shall continue until the license is issued or reinstated."
 - 13. California Code of Regulations, title 16, section 1718 provides, in pertinent part:
- "'Current Inventory' as used in Sections 4081 and 4332 of the Business and Professions Code shall be considered to include complete accountability for all dangerous drugs handled by every licensee enumerated in Sections 4081 and 4332."
 - 14. Code of Federal Regulations, title 21, section 1317.30 provides, in pertinent part:
- "(a) The following persons are authorized to collect controlled substances from ultimate users and other non-registrants for destruction in compliance with this chapter:

10

11

16

24

22

2526

262728

- "(1) Any registrant authorized by the Administration to be a collector pursuant to § 1317.40; and
- "(2) Federal, State, tribal, or local law enforcement when in the course of official duties and pursuant to § 1317.35."
 - 15. Code of Federal Regulations, title 21, section 1306.22 provides, in pertinent part:
- "(f) As an alternative to the procedures provided by paragraphs (a) through (e) of this section, a computer application may be used for the storage and retrieval of refill information for original paper prescription orders for controlled substances in Schedule III and IV, subject to the following conditions:

"(3) Documentation of the fact that the refill information entered into the computer each time a pharmacist refills an original paper, fax, or oral prescription order for a Schedule III or IV controlled substance is correct must be provided by the individual pharmacist who makes use of such an application. If such an application provides a hard-copy printout of each day's controlled substance prescription order refill data, that printout shall be verified, dated, and signed by the individual pharmacist who refilled such a prescription order. The individual pharmacist must verify that the data indicated are correct and then sign this document in the same manner as he would sign a check or legal document (e.g., J.H. Smith, or John H. Smith). This document shall be maintained in a separate file at that pharmacy for a period of two years from the dispensing date. This printout of the day's controlled substance prescription order refill data must be provided to each pharmacy using such a computerized application within 72 hours of the date on which the refill was dispensed. It must be verified and signed by each pharmacist who is involved with such dispensing. In lieu of such a printout, the pharmacy shall maintain a bound log book, or separate file, in which each individual pharmacist involved in such dispensing shall sign a statement (in the manner previously described) each day, attesting to the fact that the refill information entered into the computer that day has been reviewed by him and is correct as shown. Such a book or file must be maintained at the pharmacy employing such an application for a period of two years after the date of dispensing the appropriately authorized refill."

COSTS

16. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

FACTUAL BACKGROUND

- 17. On or about November 2, 2016, two Board inspectors performed an inspection at Respondent Pharmacy. During the inspection, the inspectors discovered twenty-three (23) boxes full of drugs that had been returned to Respondent Pharmacy for destruction. Some of those boxes contained controlled substances. Respondent Pharmacy is not authorized to collect controlled substances for destruction.
- 18. On or about November 16, 2016, a representative from Respondent Pharmacy provided one of the inspectors with a log purportedly containing prescription order refill data for Schedule III and IV controlled substances from October 2016. That log did not contain a daily statement signed by all of the pharmacists involved in the dispensing of those refills attesting to the fact that the refill information entered into the pharmacy's computer that day had been reviewed by the pharmacists and was correct as shown.
- 19. Respondent Pharmacy provided one of the inspectors with purchasing and dispensing information from May 24, 2015, through November 2, 2016, for certain drugs that were present at Respondent Pharmacy during the inspection. The inspector performed an audit of that information. The results of the audit indicated that there were shortages of four (4) drugs and overages of thirteen (13) drugs. A shortage occurs when a pharmacy purchases more drugs than it dispenses. An overage occurs when a pharmacy dispenses more drugs than it purchased.

FIRST CAUSE FOR DISCIPLINE

(Failure to Maintain Current Inventory of Dangerous Drugs)

20. Respondent Pharmacy's, Respondent Basrai's, and Respondent Eitoku's licenses are subject to disciplinary action under sections 4301, subdivisions (j) and/or (o), 4081, subdivisions (a) and (b), and 4113, subdivision (c) of the Code, and California Code of Regulations, title 16,

section 1718, in that Respondent Pharmacy failed to maintain a current inventory of dangerous drugs. The circumstances of this conduct are set forth above in Paragraphs 17-19.

SECOND CAUSE FOR DISCIPLINE

(Unauthorized Collection of Controlled Substances for Destruction)

21. Respondent Pharmacy's and Respondent Eitoku's licenses are subject to disciplinary action under sections 4301, subdivision (o) and 4113, subdivision (c) of the Code, and Code of Federal Regulations, title 21, section 1317.30, in that Respondent Pharmacy engaged in the unauthorized collection of controlled substances for destruction. The circumstances of this conduct are set forth above in Paragraphs 17-19.

THIRD CAUSE FOR DISCIPLINE

(Failure to Maintain Documentation of Refill Information for Schedule III and IV Controlled Substances)

22. Respondent Pharmacy's, Respondent Basrai's, and Respondent Eitoku's licenses are subject to disciplinary action under sections 4301, subdivision (o) and 4113, subdivision (c) of the Code, and Code of Federal Regulations, title 21, section 1306.22, subdivision (f)(3), in that for the month of October 2016, Respondent Pharmacy failed to maintain a printout of the pharmacy's daily prescription order refill data for Schedule III and IV controlled substances that was verified, dated, and signed by the individual pharmacist(s) who refilled the prescription orders; and/or Respondent Pharmacy failed to maintain a bound log book, or separate file, in which each individual pharmacist(s) involved in dispensing controlled substance prescription refills signed a statement each day that month attesting to the fact that the refill information entered into the computer that day had been reviewed by him or her and was correct as shown. The circumstances of this conduct are set forth above in Paragraphs 17-19.

OTHER MATTERS

23. Pursuant to section 4307 of the Code, if discipline is imposed on Original Permit
Number PHY 50018 issued to GSB Pharmaceutical Enterprises dba Alisal LTC Pharmacy while
Gurpartap Singh Basrai was an officer or owner of GSB Pharmaceutical Enterprises dba Alisal
LTC Pharmacy and had knowledge of or knowingly participated in any conduct for which

Original Permit Number PHY 50018 was disciplined, Gurpartap Singh Basrai shall be prohibited from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a licensee for five years if Original Permit Number PHY 50018 is placed on probation or until Original Permit Number PHY 50018 is reinstated if it is revoked.

DISCIPLINE CONSIDERATIONS

- 24. To determine the degree of discipline, if any, to be imposed on Respondent Basrai, Complainant alleges that on or about March 2, 2001, in a prior disciplinary action entitled In the Matter of the Accusation Against Gurpartap Singh Basrai, before the Board, in Case Number 2231, Respondent Basrai's Original Pharmacist License Number RPH 31057 was placed on three years probation subject to certain terms and conditions. That decision is now final and is incorporated by reference as if fully set forth in this Accusation.
- 25. To determine the degree of discipline, if any, to be imposed on Respondent Basrai, Complainant further alleges that on or about August 27, 2014, in Case No. CI 2011 49013, the Board issued a Citation and Fine to Respondent Basrai based on violations of sections 4301, subdivision (g) (knowingly making or signing any certificate or other document that falsely represents the existence or nonexistence of a state of facts) and 4201, subdivision (i) (failure to report a change in the proposed beneficial ownership interest to the Board within thirty (30) days) of the Code. That Citation and Fine is now final and is incorporated by reference as if fully set forth in this Accusation.

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters alleged in this Accusation, and that following the hearing, the Board of Pharmacy issue a decision:

- Revoking or suspending Original Permit Number PHY 50018 issued to GSB
 Pharmaceutical Enterprises dba Alisal LTC Pharmacy, Gurpartap Singh Basrai, President and Secretary;
- Revoking or suspending Pharmacist License Number RPH 31057 issued to Gurpartap
 Singh Basrai;

XAVIER BECERRA 1 Attorney General of California 2 DIANN SOLOLOFF Supervising Deputy Attorney General 3 **GREGORY TUSS** Deputy Attorney General State Bar No. 200659 4 1515 Clay Street, 20th Floor 5 P.O. Box 70550 Oakland, CA 94612-0550 Telephone: (510) 879-1005 6 Facsimile: (510) 622-2270 7 Attorneys for Complainant 8 BEFORE THE 9 **BOARD OF PHARMACY** DEPARTMENT OF CONSUMER AFFAIRS 10 STATE OF CALIFORNIA 11 Case Nos. 5851, 6286 In the Matter of the Second Amended 12 OAH Nos. 2017110399, 2018040505 Accusation No. 5851 and Accusation No. 6286 13 Against: STIPULATED SETTLEMENT AND **DISCIPLINARY ORDER GURPARTAP SINGH BASRAI** 14 37323 Fremont Blvd. Fremont, CA 94536 15 Pharmacist License No. RPH 31057, 16 Respondent. 17 18 IT IS STIPULATED AND AGREED by and between the parties to the above-entitled 19 proceedings that the following matters are true: 20 **PARTIES** 21 Complainant Virginia Herold is the executive officer of the Board of Pharmacy, 1. 22 Department of Consumer Affairs. She brought these actions solely in her official capacity and is 23 represented in this matter by Xavier Becerra, Attorney General of the State of California, and by 24 Gregory Tuss, deputy attorney general. 25 Respondent Gurpartap Singh Basrai is represented in this proceeding by attorney 2. 26 Herb L. Weinberg, whose address is Fenton Law Group, LLP, 1990 South Bundy Drive, Suite 27 777, Los Angeles, CA 90025. 28

9

12

14

16

17 18

19

20 21

22

23 24

25

26 27

28

3. On or about August 1, 1977, the Board issued Original Pharmacist License No. RPH 31057 to Gurpartap Singh Basrai. This original pharmacist license was in full force and effect at all times relevant to the charges brought in Second Amended Accusation No. 5851 and Accusation No. 6286, and will expire on October 31, 2019, unless renewed.

JURISDICTION

- Second Amended Accusation No. 5851 and Accusation No. 6286 were filed before 4. the board and are currently pending against respondent. Second Amended Accusation No. 5851 and all other statutorily required documents were properly served on respondent on March 9, 2018; Accusation No. 6286 and all other statutorily required documents were properly served on respondent on March 8, 2018. Respondent timely filed his notices of defense contesting Second Amended Accusation No. 5851 and Accusation No. 6286.
- 5. A copy of Second Amended Accusation No. 5851 is attached as exhibit 1 and incorporated by reference.
- A copy of Accusation No. 6286 is attached as exhibit 2 and incorporated by 6. reference.

ADVISEMENT AND WAIVERS

- 7. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Second Amended Accusation No. 5851 and Accusation No. 6286. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in Second Amended Accusation No. 5851 and Accusation No. 6286; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
 - 9. Respondent voluntarily, knowingly, and intelligently waives and gives up each and

2 3

> 4 5

7

6

8 9

11

10

12 13

14 15

16 17

18

19 20

22

21

23 24

25

26

27

28

every right set forth above.

CULPABILITY

- Respondent admits the truth of each and every charge and allegation in Second 10. Amended Accusation No. 5851 and Accusation No. 6286.
- Respondent agrees that his original pharmacist license is subject to discipline and 11. agrees to be bound by the board's probationary terms as set forth in the disciplinary order below.

CONTINGENCY

- This stipulation shall be subject to approval by the Board of Pharmacy. 12. Respondent understands and agrees that counsel for complainant and the staff of the Board of Pharmacy may communicate directly with the board regarding this stipulation and settlement, without notice to or participation by respondent or his counsel. By signing the stipulation, respondent understands and agrees that he may not withdraw its agreement or seek to rescind the stipulation prior to the time the board considers and acts upon it. If the board fails to adopt this stipulation as its decision and order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect except for this paragraph, it shall be inadmissible in any legal action between the parties, and the board shall not be disqualified from further action by having considered this matter.
- The parties understand and agree that portable document format (PDF) and 13. facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures, shall have the same force and effect as the originals.
- This Stipulated Settlement and Disciplinary Order is intended by the parties to be 14. an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- In consideration of these admissions and stipulations, the parties agree that the 15. board may, without further notice or formal proceeding, issue and enter the following disciplinary

order:

DISCIPLINARY ORDER

IT IS ORDERED that Original Pharmacist License No. RPH 31057 issued to respondent Gurpartap Singh Basrai is revoked. However, the revocation is stayed and respondent is placed on probation for five years on the following terms and conditions.

1. Suspension

As part of probation, respondent is suspended from practice as a licensed pharmacist until he completes four hours of remedial education in pharmacy law beginning the effective date of this decision.

During suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer, or any other distributor of drugs that is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices or controlled substances.

During this suspension, respondent shall not engage in any activity that requires the professional judgment of and/or licensure as a licensed pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy or of the manufacturing, distributing, wholesaling, or retailing of dangerous drugs and/or dangerous devices or controlled substances.

Failure to comply with this suspension shall be considered a violation of probation.

2. Obey All Laws

Respondent shall obey all state and federal laws and regulations.

Respondent shall report any of the following occurrences to the board in writing within 72 hours of such occurrence:

• an arrest or issuance of a criminal complaint for violation of any provision of the

Pharmacy Law, state and federal food and drug laws, or state and federal controlled substances laws

- a plea of guilty, or nolo contendere, no contest, or similar, in any state or federal criminal proceeding to any criminal complaint, information or indictment
- a conviction of any crime
- the filing of a disciplinary pleading, issuance of a citation, or initiation of another administrative action filed by any state or federal agency which involves respondent's license or which is related to the practice of pharmacy or the manufacturing, obtaining, handling, distributing, billing, or charging for any drug, device or controlled substance.
 Failure to timely report such occurrence shall be considered a violation of probation.

3. Report to the Board

Respondent shall report to the board quarterly on a schedule as directed by the board or its designee. The report shall be made either in person or in writing, as directed. Among other requirements, respondent shall state in each report under penalty of perjury whether there has been compliance with all the terms and conditions of probation.

Failure to submit timely reports in a form as directed shall be considered a violation of probation. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation. Moreover, if the final probation report is not made as directed, probation shall be automatically extended until such time as the final report is made and accepted by the board.

4. Interview with the Board

Upon receipt of reasonable prior notice, respondent shall appear in person for interviews with the board or its designee at such intervals and locations as are determined by the board or its designee. Failure to appear for any scheduled interview without prior notification to board staff, or failure to appear for two or more scheduled interviews with the board or its designee during the period of probation, shall be considered a violation of probation.

5. Cooperate with Board Staff

Respondent shall timely cooperate with the board's inspection program and with the

board's monitoring and investigation of respondent's compliance with the terms and conditions of his probation, including but not limited to timely responses to requests for information by board staff, timely compliance with directives from board staff regarding requirements of any term or condition of probation, and timely completion of documentation pertaining to a term or condition of probation. Failure to timely cooperate shall be considered a violation of probation.

6. Continuing Education

Respondent shall provide evidence of efforts to maintain skill and knowledge as a pharmacist as directed by the board or its designee.

7. Reporting of Employment and Notice to Employers

During the period of probation, respondent shall notify all present and prospective employers of the decision in Second Amended Accusation No. 5851 and Accusation No. 6286, and the terms, conditions, and restrictions imposed on respondent by the decision, as follows:

Within 30 days of the effective date of this decision and within 10 days of undertaking any new employment, respondent shall report to the board in writing the name, physical address, and mailing address of each of his employer(s), and the name(s) and telephone number(s) of all of his direct supervisor(s), as well as any pharmacist(s)-in-charge, designated representative(s)-in-charge, responsible manager, or other compliance supervisor(s) and the work schedule, if known. Respondent shall also include the reason(s) for leaving the prior employment. Respondent shall sign and return to the board a written consent authorizing the board or its designee to communicate with all of respondent's employer(s) and supervisor(s), and authorizing those employer(s) or supervisor(s) to communicate with the board or its designee, concerning respondent's work status, performance, and monitoring. Failure to comply with the requirements or deadlines of this condition shall be considered a violation of probation.

Within 30 days of the effective date of this decision and within 15 days of respondent undertaking any new employment, respondent shall cause (a) his direct supervisor, (b) his pharmacist-in-charge, designated representative-in-charge, responsible manager, or other compliance supervisor, and (c) the owner or owner representative of his employer, to report to the board in writing acknowledging that the listed individual(s) has/have read the decision in Second

Amended Accusation No. 5851 and Accusation No. 6286, and the terms and conditions imposed. If one person serves in more than one role described in (a), (b), or (c), the acknowledgment shall so state. It shall be respondent's responsibility to ensure that these acknowledgment(s) are timely submitted to the board. In the event of a change in the person(s) serving the role(s) described in (a), (b), or (c) during the term of probation, respondent shall cause the person(s) taking over the role(s) to report to the board in writing within 15 days of the change acknowledging that he or she has read the decision in Second Amended Accusation No. 5851 and Accusation No. 6286, and the terms and conditions imposed.

If respondent works for or is employed by or through an employment service, respondent must notify the person(s) described in (a), (b), and (c) above at every entity licensed by the board of the decision in Second Amended Accusation No. 5851 and Accusation No. 6286, and the terms and conditions imposed in advance of respondent commencing work at such licensed entity. A record of this notification must be provided to the board upon request.

Furthermore, within 30 days of the effective date of this decision and within 15 days of respondent undertaking any new employment by or through an employment service, respondent shall cause the person(s) described in (a), (b), and (c) above at the employment service to report to the board in writing acknowledging that he or she has read the decision in Second Amended Accusation No. 5851 and Accusation No. 6286, and the terms and conditions imposed. It shall be respondent's responsibility to ensure that these acknowledgment(s) are timely submitted to the board.

Failure to timely notify present or prospective employer(s) or failure to cause the identified person(s) with that/those employer(s) to submit timely written acknowledgments to the board shall be considered a violation of probation.

"Employment" within the meaning of this provision includes any full-time, part-time, temporary, relief, or employment/management service position as a licensed pharmacist, or any position for which a pharmacist license is a requirement or criterion for employment, whether respondent is an employee, independent contractor or volunteer.

///

8. Notification of Change(s) in Name, Address(es), or Phone Number(s)

Respondent shall further notify the board in writing within 10 days of any change in name, residence address, mailing address, e-mail address or phone number.

Failure to timely notify the board of any change in employer, name, address, or phone number shall be considered a violation of probation.

9. Restrictions on Supervision and Oversight of Licensed Facilities

During the period of probation, respondent shall not supervise any intern pharmacist, be the pharmacist-in-charge, designated representative-in-charge, responsible manager or other compliance supervisor of any entity licensed by the board, or serve as a consultant. Assumption of any such unauthorized supervision responsibilities shall be considered a violation of probation.

10. Reimbursement of Board Costs

As a condition precedent to successful completion of probation, respondent shall pay to the board its costs of investigation and prosecution in the amount of \$32,632.60. Respondent shall be permitted to pay these costs in a payment plan approved by the board or its designee, so long as full payment is completed no later than one year prior to the end date of probation.

There shall be no deviation from this schedule absent prior written approval by the board or its designee. Failure to pay costs by the deadline(s) as directed shall be considered a violation of probation.

11. Probation Monitoring Costs

Respondent shall pay any costs associated with probation monitoring as determined by the board each and every year of probation. Such costs shall be payable to the board on a schedule as directed by the board or its designee. Failure to pay such costs by the deadline(s) as directed shall be considered a violation of probation.

12. Status of License

Respondent shall at all times while on probation maintain an active, current original pharmacist license with the board, including any period during which suspension or probation is tolled. Failure to maintain an active, current original pharmacist license shall be considered a violation of probation.

If respondent's original pharmacist license expires or is cancelled by operation of law or otherwise at any time during the period of probation, including any extensions due to tolling or otherwise, upon renewal or reapplication respondent's original pharmacist license shall be subject to all terms and conditions of this probation not previously satisfied.

13. License Surrender While on Probation/Suspension

Following the effective date of this decision, should respondent cease practice due to retirement or health, or be otherwise unable to satisfy the terms and conditions of probation, respondent may relinquish his license, including any indicia of licensure issued by the board, along with a request to surrender the license. The board or its designee shall have the discretion whether to accept the surrender or take any other action it deems appropriate and reasonable. Upon formal acceptance of the surrender of the license, respondent will no longer be subject to the terms and conditions of probation. This surrender constitutes a record of discipline and shall become a part of respondent's license history with the board.

Upon acceptance of the surrender, respondent shall relinquish his pocket and/or wall license, including any indicia of licensure not previously provided to the board, within 10 days of notification by the board that the surrender is accepted if not already provided. Respondent may not reapply for any license from the board for three years from the effective date of the surrender. Respondent shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the board, including any outstanding costs.

14. Practice Requirement - Extension of Probation

Except during periods of suspension, respondent shall, at all times while on probation, be employed as a licensed pharmacist in California for a minimum of 40 hours per calendar month. Any month during which this minimum is not met shall extend the period of probation by one month. During any such period of insufficient employment, respondent must nonetheless comply with all terms and conditions of probation, unless respondent receives a waiver in writing from the board or its designee.

If respondent does not practice as a licensed pharmacist in California for the minimum number of hours in any calendar month, for any reason (including vacation), respondent shall

notify the board in writing within 10 days of the conclusion of that calendar month. This notification shall include at least the date(s), location(s), and hours of last practice; the reason(s) for the interruption or reduction in practice; and the anticipated date(s) on which respondent will resume practice at the required level. Respondent shall further notify the board in writing within 10 days following the next calendar month during which respondent practices as a licensed pharmacist in California for the minimum of hours. Any failure to timely provide such notification(s) shall be considered a violation of probation.

It is a violation of probation for respondent's probation to be extended under the provisions of this condition for a total period, counting consecutive and non-consecutive months, exceeding 36 months. The board or its designee may post a notice of the extended probation period on its website.

15. Violation of Probation

If respondent has not complied with any term or condition of probation, the board shall have continuing jurisdiction over respondent, and the board shall provide notice to respondent that probation shall automatically be extended until all terms and conditions have been satisfied or the board has taken other action as deemed appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty that was stayed. The board or its designee may post a notice of the extended probation period on its website.

If respondent violates probation in any respect, the board, after giving respondent notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If a petition to revoke probation or an accusation is filed against respondent during probation, or the preparation of an accusation or petition to revoke probation is requested from the Office of the Attorney General, the board shall have continuing jurisdiction and the period of probation shall be automatically extended until the petition to revoke probation or accusation is heard and decided.

16. Completion of Probation

Upon written notice by the board or its designee indicating successful completion of probation, respondent's license will be fully restored.

17. Remedial Education

Within 30 days of the effective date of this decision, respondent shall submit to the board or its designee for prior approval an appropriate program of remedial education related to inventory controls, record keeping, prescription drug abuse, and pharmacy law. Respondent shall complete the joint Drug Enforcement Administration /Board of Pharmacy training within the first year or probation. Respondent shall complete six hours each subsequent year of probation in inventory controls, record keeping, prescription drug abuse, and pharmacy law. Half of the six hours each year shall be completed through in-person training. The program shall be completed at respondent's own expense. Respondent shall provide proof to the board or its designee of his completion of the training and hours. All remedial education shall be in addition to and shall not be credited toward continuing education (CE) courses used for license renewal purposes for pharmacists.

Failure to timely submit for approval or complete the approved remedial education shall be considered a violation of probation. The period of probation will be automatically extended until such remedial education is successfully completed and written proof, in a form acceptable to the board, is provided to the board or its designee.

Following the completion of each course, the board or its designee may require respondent, at his own expense, to take an approved examination to test respondent's knowledge of the course. If respondent does not achieve a passing score on the examination that course shall not count towards satisfaction of this term. Respondent shall take another course approved by the board in the same subject area.

18. Ethics Course

Within 60 calendar days of the effective date of this decision, respondent shall enroll in a course in ethics at respondent's expense approved in advance by the board or its designee that complies with title 16, California Code of Regulations section 1773.5. Respondent shall provide proof of enrollment upon request. Within five days of completion, respondent shall submit a copy of the certificate of completion to the board or its designee. Failure to timely enroll in an approved ethics course, to initiate the course during the first year of probation, to successfully

complete it before the end of the second year of probation, or to timely submit proof of completion to the board or its designee, shall be considered a violation of probation.

19. Supervised Practice

Within 30 days of the effective date of this decision, respondent shall submit to the board or its designee for prior approval the name of a pharmacist licensed by and not on probation with the board to serve as respondent's practice supervisor. As part of the documentation submitted, respondent shall cause the proposed practice supervisor to report to the board in writing acknowledging that he or she has read the decision in Second Amended Accusation No. 5851 and Accusation No. 6286, and is familiar with the terms and conditions imposed, including the level of supervision required by the board or its designee. This level will be determined by the board or its designee, will be communicated to respondent on or before the effective date of this decision and shall be one of the following:

- Continuous At least 75% of a work week
- Substantial At least 50% of a work week
- Partial At least 25% of a work week
- Daily Review Supervisor's review of probationer's daily activities within 24 hours
 Respondent may practice only under the required level of supervision by an approved
 practice supervisor. If for any reason, including change of employment, respondent is no longer
 supervised at the required level by an approved practice supervisor, within 10 days of this change
 in supervision respondent shall submit to the board or its designee for prior approval the name of
 a pharmacist licensed by and not on probation with the board to serve as respondent's
 replacement practice supervisor. As part of the documentation submitted, respondent shall cause
 the proposed replacement practice supervisor to report to the board in writing acknowledging that
 he or she has read the decision in Second Amended Accusation No. 5851 and Accusation No.
 6286, and is familiar with the terms and conditions imposed, including the level of supervision
 required.

Any of the following shall result in the automatic suspension of practice by a respondent and shall be considered a violation of probation:

Failure to nominate an initial practice supervisor, and to have that practice supervisor report to the board in writing acknowledging the decision, terms and conditions, and supervision level, within 30 days;

Failure to nominate a replacement practice supervisor, and to have that practice supervisor report to the board in writing acknowledging the decision, terms and conditions, and supervision level, within 10 days;

Practicing in the absence of an approved practice supervisor beyond the initial or replacement nomination period; or

Any failure to adhere to the required level of supervision.

Respondent shall not resume practice until notified in writing by the board or its designee.

During any suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, third-party logistics provider, veterinary food-animal drug retailer or any other distributor of drugs which is licensed by the board, or any manufacturer, or any area where dangerous drugs and/or dangerous devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, distributing, manufacturing or dispensing of dangerous drugs and/or dangerous devices or controlled substances.

During any suspension, respondent shall not engage in any activity that requires the professional judgment and/or licensure as a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy or of the manufacture, distribution, wholesaling, or retailing of dangerous drugs and/or dangerous devices or controlled substances.

Failure to comply with any suspension shall be considered a violation of probation.

20. No Ownership or Management of Licensed Premises

Respondent shall not own, have any legal or beneficial interest in, or serve as a manager, administrator, member, officer, director, trustee, associate, or partner of any business, firm, partnership, or corporation currently or afterwards licensed by the board. Respondent shall sell or

transfer any legal or beneficial interest in any entity licensed by the board within 90 days following the effective date of this decision and shall immediately thereafter provide written proof to the board. Failure to timely divest any legal or beneficial interest(s) or provide documentation thereof shall be considered a violation of probation.

ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Herb L. Weinberg. I understand the stipulation and the effect it will have on my original pharmacist license. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the decision and order of the Board of Pharmacy.

DATED:	10-15-18	Suparlap Snigh	Benz
		GURPARTAP SINGH BASRAI	
		Respondent	

I have read and fully discussed with respondent Gurpartap Singh Basrai the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order.

I approve its form and content.

DATED: 10/15/ 2018

Herb L. Weinberg
Attorney for Respondent

ENDORSEMENT This Stipulated Settlement and Disciplinary Order is submitted for consideration by the Board of Pharmacy. Dated: 10.15.18 Respectfully submitted, XAVIER BECERRA Attorney General of California DIANN SOKOLOFF Supervising Deputy Attorney General GREGORY TUSS Deputy Attorney General Attorneys for Complainant SF2016200954 91019530.docx

STIPULATED SETTLEMENT (Basrai 5851, 6286)

Exhibit 1

Second Amended Accusation No. 5851

1 2 3	XAVIER BECERRA Attorney General of California DIANN SOKOLOFF Supervising Deputy Attorney General NICHOLAS TSUKAMAKI Deputy Attorney General	
4	State Bar No. 253959 1515 Clay Street, 20 th Floor	
5	P.O. Box 70550 Oakland, CA 94612-0550	
7	Telephone: (510) 879-0982 Facsimile: (510) 622-2270 Email: Nicholas.Tsukamaki@doj.ca.gov Attorneys for Complainant	
8	BEFOR	R THE
9	BOARD OF I DEPARTMENT OF C STATE OF C	PHARMACY ONSUMER AFFAIRS
11		Case No. 5851
12	In the Matter of the Accusation Against:	Case No. 3831
13 14	GSB PHARMACEUTICAL ENTERPRISES dba ALISAL PHARMACY, GURPARTAP SINGH BASRAI, PRESIDENT & SECRETARY 323 N. Sanborn Rd.	SECOND AMENDED ACCUSATION
15 16	Salinas, CA 93905 Original Permit No. PHY 50019	
17 18	ROBERT A. SOUZA 108 San Benancio Road Salinas, CA 93908 Pharmacist License No. RPH 22767	
19 20	GURPARTAP SINGH BASRAI 37323 Fremont Blvd. Fremont, CA 94536 Pharmacist License No. RPH 31057	
21	Respondents.	
22	Respondents.	
23		
24	Complainant alleges:	
25		TIES
26	Virginia Herold (Complainant) bring	s this Second Amended Accusation solely in her
27	official capacity as the Executive Officer of the I	
28	Consumer Affairs.	
		1
	(ALI	SAL PHARMACY) SECOND AMENDED ACCUSATIO

- 2. On or about September 16, 2009, the Board issued Original Permit Number PHY 50019 to GSB Pharmaceutical Enterprises to do business as Alisal Pharmacy, Gurpartap Singh Basrai, President and Secretary (Respondent Alisal). The Original Permit was in full force and effect at all times relevant to the charges brought in this Second Amended Accusation and will expire on September 1, 2018, unless renewed.
- 3. On or about November 8, 1962, the Board issued Original Pharmacist License Number RPH 22767 to Robert A. Souza (Respondent Souza). The Pharmacist License was in full force and effect at all times relevant to the charges brought in this Second Amended Accusation and will expire on October 31, 2019, unless renewed.
- 4. On or about August 1, 1977, the Board issued Original Pharmacist License Number RPH 31057 to Gurpartap Singh Basrai (Respondent Basrai). The Pharmacist License was in full force and effect at all times relevant to the charges brought in this Second Amended Accusation and will expire on October 31, 2019, unless renewed.

JURISDICTION

- This Second Amended Accusation is brought before the Board under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
- 6. Section 4011 of the Code provides that the Board shall administer and enforce both the Pharmacy Law (Bus. & Prof. Code, § 4000 et seq.) and the Uniform Controlled Substances Act (Health & Safety Code, § 11000 et seq.).
- 7. Section 4300, subdivision (a) of the Code provides that every license issued by the Board may be suspended or revoked.
- 8. Section 4300.1 of the Code provides that the expiration, cancellation, forfeiture, or suspension of a Board-issued license, the placement of a license on a retired status, or the voluntary surrender of a license by a licensee, shall not deprive the Board of jurisdiction to commence or proceed with any investigation of, or action or disciplinary proceeding against, the licensee or to render a decision suspending or revoking the license.

4 5

STATUTORY AND REGULATORY PROVISIONS

9. Section 4301 of the Code provides, in pertinent part:

"The board shall take action against any holder of a license who is guilty of unprofessional conduct or whose license has been issued by mistake. Unprofessional conduct shall include, but is not limited to, any of the following:

"(j) The violation of any of the statutes of this state, of any other state, or of the United States regulating controlled substances and dangerous drugs.

- "(o) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of or conspiring to violate any provision or term of this chapter or of the applicable federal and state laws and regulations governing pharmacy, including regulations established by the board or by any other state or federal regulatory agency."
 - 0. Section 4081 of the Code provides, in pertinent part:
- "(a) All records of manufacture and of sale, acquisition, or disposition of dangerous drugs or dangerous devices shall be at all times during business hours open to inspection by authorized officers of the law, and shall be preserved for at least three years from the date of making....
- "(b) The owner, officer, and partner of any pharmacy, wholesaler, or veterinary food-animal drug retailer shall be jointly responsible, with the pharmacist-in-charge or representative-in-charge, for maintaining the records and inventory described in this section."
- 11. Section 4113, subdivision (c) of the Code states: "The pharmacist-in-charge shall be responsible for a pharmacy's compliance with all state and federal laws and regulations pertaining to the practice of pharmacy."
 - 12. Section 4307 of the Code provides, in pertinent part:
- "(a) Any person who has been denied a license or whose license has been revoked or is under suspension, or who has failed to renew his or her license while it was under suspension, or who has been a manager, administrator, owner, member, officer, director, associate, partner, or any other person with management or control of any partnership, corporation, trust, firm, or

association whose application for a license has been denied or revoked, is under suspension or has been placed on probation, and while acting as the manager, administrator, owner, member, officer, director, associate, partner, or any other person with management or control had knowledge of or knowingly participated in any conduct for which the license was denied, revoked, suspended, or placed on probation, shall be prohibited from serving as a manager, administrator, owner, member, officer, director, associate, partner, or in any other position with management or control of a licensee as follows:

- "(1) Where a probationary license is issued or where an existing license is placed on probation, this prohibition shall remain in effect for a period not to exceed five years.
- "(2) Where the license is denied or revoked, the prohibition shall continue until the license is issued or reinstated."
 - 13. Health and Safety Code section 11164 provides, in pertinent part:

"Except as provided in Section 11167, no person shall prescribe a controlled substance, nor shall any person fill, compound, or dispense a prescription for a controlled substance, unless it complies with the requirements of this section.

- "(a) Each prescription for a controlled substance classified in Schedule II, III, IV, or V, except as authorized by subdivision (b), shall be made on a controlled substance prescription form as specified in Section 11162.1..."
 - 14. Health and Safety Code section 11162.1 provides, in pertinent part:
- "(a) The prescription forms for controlled substances shall be printed with the following features:
- "(2) A watermark shall be printed on the backside of the prescription blank; the watermark shall consist of the words 'California Security Prescription.'
- "(7)(A) Six quantity check off boxes shall be printed on the form so that the prescriber may indicate the quantity by checking the applicable box where the following quantities shall appear:
 - 1-24

1	25-49
2	50-74
3	75-100
4	101-150
5	151 and over.
6	
7	"(8) Prescription blanks shall contain a statement printed on the bottom of the prescription
8	blank that the 'Prescription is void if the number of drugs prescribed is not noted.'
9	
10	"(13) An identifying number assigned to the approved security printer by the Department of
11	Justice.
12	
13	"(b) Each batch of controlled substance prescription forms shall have the lot number printed
14	on the form and each form within that batch shall be numbered sequentially beginning with the
15	numeral one."
16	15. California Code of Regulations, title 16, section 1714 provides, in pertinent part:
17	"(b) Each pharmacy licensed by the board shall maintain its facilities, space, fixtures, and
18	equipment so that drugs are safely and properly prepared, maintained, secured and distributed.
19	The pharmacy shall be of sufficient size and unobstructed area to accommodate the safe practice
20	of pharmacy.
21	
22	"(d) Each pharmacist while on duty shall be responsible for the security of the prescription
23	department, including provisions for effective control against theft or diversion of dangerous
24	drugs and devices, and records for such drugs and devices"
25	16. California Code of Regulations, title 16, section 1761 states:
26	"(a) No pharmacist shall compound or dispense any prescription which contains any
27	significant error, omission, irregularity, uncertainty, ambiguity or alteration. Upon receipt of any
28	such prescription, the pharmacist shall contact the prescriber to obtain the information needed to

validate the prescription.

- "(b) Even after conferring with the prescriber, a pharmacist shall not compound or dispense a controlled substance prescription where the pharmacist knows or has objective reason to know that said prescription was not issued for a legitimate medical purpose."
 - 17. Code of Federal Regulations, title 21, section 1301.75, subdivision (b) states:

"Controlled substances listed in Schedules II, III, IV, and V shall be stored in a securely locked, substantially constructed cabinet. However, pharmacies and institutional practitioners may disperse such substances throughout the stock of noncontrolled substances in such a manner as to obstruct the theft or diversion of the controlled substances."

COSTS

18. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

FACTUAL BACKGROUND

- 19. Respondent Souza was Respondent Alisal's pharmacist-in-charge from September 16, 2009, to May 1, 2015.
- 20. On or about February 3, 2015, Respondent Souza reported to the Board that Respondent Alisal had experienced a loss of hydrocodone/acetaminophen 10/325mg, a Schedule II controlled substance and dangerous drug. Respondent Souza later determined that Respondent Alisal had also experienced a loss of alprazolam 2mg, a Schedule IV controlled substance and dangerous drug.
- 21. A Board inspector determined that Respondent Alisal experienced a loss of 68,239 tablets of hydrocodone/acetaminophen 10/325mg between June 1, 2013, and February 3, 2015, and a loss of 913 tablets of alprazolam 2mg between June 1, 2013, and January 28, 2015.
- 22. According to its records of disposition, Respondent Alisal dispensed approximately 1,136 tablets of alprazolam 2mg manufactured by Sandoz between June 1, 2013, and January 28, 2015. Respondent Alisal did not retain purchase records for those alprazolam 2mg tablets.

23. On or about October 4, 2017, a Board inspector conducted an inspection at
Respondent Alisal. During the inspection, the inspector observed a safe in the rear area of the
pharmacy that was closed but not locked. The safe contained controlled substances. After the
inspector explained the security requirements for controlled substances to the pharmacist-in-
charge, the pharmacist-in-charge locked the safe. The inspector then asked a pharmacy
technician if she could open the locked safe. Using a combination, the pharmacy technician
opened the safe.

- 24. On or about July 3, 2017, a pharmacist employed by Respondent Alisal filled a prescription for promethazine with codeine syrup, a Schedule V controlled substance and dangerous drug. The prescription was missing the following:
- a. A watermark printed on the backside of the prescription that reads: "California Security Prescription";
- b. An identifying number assigned to the approved security printer by the Department of Justice;
 - c. A lot number printed on the form;
 - d. Six quantity check off boxes; and
- e. The following statement printed on the bottom of the prescription: "Prescription is void if the number of drugs prescribed is not noted."
- 25. The promethazine with codeine syrup prescription was paid for with cash. The physician who prescribed the promethazine with codeine syrup was located approximately 45 miles away from Respondent Alisal. Also, the prescription document did not contain any notes indicating that steps were taken to validate the legitimacy of the prescription.

FIRST CAUSE FOR DISCIPLINE

(Failure to Maintain Facilities to Ensure Proper Maintenance and Security of Drugs)

26. Respondents Alisal and Souza are subject to disciplinary action under section 4301, subdivision (o) of the Code and California Code of Regulations, title 16, section 1714, subdivisions (b) and/or (d), in that Respondents Alisal and Souza failed to maintain Respondent Alisal's facilities, space, fixtures, and/or equipment so that drugs were safely and properly

prepared, maintained, secured and/or distributed. The circumstances of this conduct are set forth above in paragraphs 19-22.

SECOND CAUSE FOR DISCIPLINE

(Failure to Preserve Records of Manufacture, Sale, and/or Acquisition of Dangerous Drugs)

27. Respondents Alisal, Souza, and Basrai are subject to disciplinary action under sections 4301, subdivisions (j) and/or (o), and 4081, subdivisions (a) and/or (b) of the Code, in that Respondents failed to preserve certain records of manufacture, sale, acquisition, or disposition of dangerous drugs or dangerous devices. The circumstances of this conduct are set forth above in paragraphs 19-22.

THIRD CAUSE FOR DISCIPLINE

(Failure to Store Controlled Substances in a Securely Locked Cabinet)

28. Respondent Alisal is subject to disciplinary action under section 4301, subdivision (o) of the Code and Code of Federal Regulations, title 21, section 1301.75, subdivision (b), in that Respondent Alisal failed to store controlled substances in a securely locked cabinet. The circumstances of this conduct are set forth above in paragraph 23.

FOURTH CAUSE FOR DISCIPLINE

(Dispensing a Prescription Containing a Significant Error, Omission, or Uncertainty)

29. Respondent Alisal is subject to disciplinary action under section 4301, subdivision (o) of the Code and California Code of Regulations, title 16, section 1761, subdivisions (a) and/or (b), in that a pharmacist employed by Respondent Alisal dispensed a prescription that contained a significant error, omission, irregularity, uncertainty, ambiguity, or alteration, and/or that pharmacist dispensed a controlled substance prescription where that pharmacist knew or had objective reason to know that the prescription was not issued for a legitimate medical purpose. The circumstances of this conduct are set forth above in paragraphs 24 and 25.

FIFTH CAUSE FOR DISCIPLINE

(Filling or Dispensing a Prescription Based on Incomplete Prescription Form)

30. Respondent Alisal is subject to disciplinary action under section 4301, subdivisions

(i) and/or (o) of the Code and Health and Safety Code section 11164, subdivision (a), in that a

pharmacist employed by Respondent Alisal filled or dispensed a prescription for a controlled substance based on a controlled substance prescription form that did not contain all of the features required by Health and Safety Code section 11162.1. The circumstances of this conduct are set forth above in paragraphs 24 and 25.

OTHER MATTERS

- 31. Pursuant to section 4307 of the Code, if discipline is imposed on Pharmacy Permit Number PHY 50019 issued to GSB Pharmaceutical Enterprises dba Alisal Pharmacy, GSB Pharmaceutical Enterprises dba Alisal Pharmacy shall be prohibited from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a licensee for five years if Pharmacy Permit Number PHY 50019 is placed on probation or until Pharmacy Permit Number PHY 50019 is reinstated if it is revoked.
- 32. Pursuant to Code section 4307, if discipline is imposed on Pharmacy Permit Number PHY 50019 issued to GSB Pharmaceutical Enterprises dba Alisal Pharmacy while Gurpartap Singh Basrai was an officer or owner of GSB Pharmaceutical Enterprises dba Alisal Pharmacy and had knowledge of or knowingly participated in any conduct for which Pharmacy Permit Number PHY 50019 was disciplined, Gurpartap Singh Basrai shall be prohibited from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a licensee for five years if Pharmacy Permit Number PHY 50019 is placed on probation or until Pharmacy Permit Number PHY 50019 is reinstated if it is revoked.

DISCIPLINE CONSIDERATIONS

- 33. To determine the degree of discipline, if any, to be imposed on Respondent Basrai, Complainant alleges that on or about March 2, 2001, in a prior disciplinary action entitled *In the Matter of the Accusation Against Gurpartap Singh Basrai*, before the Board, in Case Number 2231, Respondent Basrai's Original Pharmacist License Number RPH 31057 was placed on three years probation subject to certain terms and conditions. That decision is now final and is incorporated by reference as if fully set forth in this Second Amended Accusation.
- 34. To determine the degree of discipline, if any, to be imposed on Respondent Basrai, Complainant further alleges that on or about August 27, 2014, in Case No. Cl 2011 49013, the

Board issued a Citation and Fine to Respondent Basrai based on violations of sections 4301, subdivision (g) (knowingly making or signing any certificate or other document that falsely represents the existence or nonexistence of a state of facts) and 4201, subdivision (i) (failure to report a change in the proposed beneficial ownership interest to the Board within thirty (30) days), of the Code. That Citation and Fine is now final and is incorporated by reference as if fully set forth in this Second Amended Accusation.

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters alleged in this Second Amended Accusation, and that following the hearing, the Board of Pharmacy issue a decision:

- Revoking or suspending Original Permit Number PHY 50019 issued to GSB
 Pharmaceutical Enterprises to do business as Alisal Pharmacy, Gurpartap Singh Basrai, President and Secretary;
- Revoking or suspending Original Pharmacist License Number RPH 22767 issued to
 Robert A. Souza;
- Revoking or suspending Original Pharmacist License Number RPH 31057 issued to Gurpartap Singh Basrai;
- 4. Prohibiting GSB Pharmaceutical Enterprises dba Alisal Pharmacy from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a licensee for five years if Pharmacy Permit Number PHY 50019 is placed on probation or until Pharmacy Permit Number PHY 50019 is reinstated if Pharmacy Permit Number 50019 issued to GSB Pharmaceutical Enterprises dba Alisal Pharmacy is revoked;
- 5. Prohibiting Gurpartap Singh Basrai from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a licensee for five years if Pharmacy Permit Number PHY 50019 is placed on probation or until Pharmacy Permit Number PHY 50019 is reinstated if Pharmacy Permit Number 50019 issued to GSB Pharmaceutical Enterprises dba Alisal Pharmacy is revoked;

DATED: VIRGINIA HEROLD Executive Officer Board of Pharmacy Department of Consumer Affair State of California Complainant SF2016200954 SF2016200954	ns Code section
7. Taking such other and further action as deemed necessary and product of the pr	pper.
7. Taking such other and further action as deemed necessary and pro DATED: VIRGINIA HEROLD Executive Officer Board of Pharmacy Department of Consumer Affair State of California Complainant SF2016200954 SF2016200954	· I
DATED: Specific of California Complainant S	· I
DATED: VIRGINIA HEROLD Executive Officer Board of Pharmacy Department of Consumer Affair State of California Complainant SF2016200954 SF2016200954	10
Executive Officer Board of Pharmacy Department of Consumer Affair State of California Complainant SF2016200954 SF2016200954	•
Executive Officer Board of Pharmacy Department of Consumer Affair State of California Complainant SF2016200954 SF2016200954	
Department of Consumer Affair State of California Complainant SF2016200954 SF2016200954 11 12	
9 Complainant 10 SF2016200954 11 12 13 14	S
SF2016200954 12 13 14	
11 12 13 14	
13	
14	
15	
16 17 17 18 19 19 19 19 19 19 19	ē
18	r _a
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
(ALISAL PHARMACY) SECOND A	

Exhibit 2

Accusation No. 6286